

INVESTIGATOR ROLE MAPPING

The University of Arizona’s [Conflicts of Interest & Commitment Policy](#) requires that Investigators “disclose all sponsored and non-sponsored Research Projects to the Office for Responsible Outside Interests prior to Conducting Research.” The Program Director, Principal Investigator or Contact PI is responsible for identifying all individuals who are an Investigator on the project. For sponsored research, Investigators should be categorized in one of the below categories in UAccess Research (UAR). This information is integrated from UAR to eDisclosure and Research Certifications are automatically created for individuals listed in the following categories:

1. The **Program Director (PD), Principal Investigator (PI), or Contact PI** is an individual who is judged to have the appropriate level of training, skill, authority, and responsibility and is designated by the University of Arizona to direct or co-direct a sponsored project or program. They are responsible and accountable to the University and the Sponsor for the design, execution, and management of a sponsored project or program, including the submission of all required reports.
2. A **Co-Investigator (Co-I), Multiple Principal Investigator (MPI), or Mentor/Advisor** is an individual the PD/PI relies on to assume responsibilities above those of other personnel who make significant contributions to the sponsored project or program. A Co-I does not have overall responsibility and authority for the sponsored project or program like an MPI.
3. A **Key Person (KP)** is an individual who is essential to the performance of the sponsored project or program, and who contributes to the scientific design, development, or execution of the project or program in a substantive, measurable way, but who does not have overall responsibility and authority for the sponsored project or program.
4. A **Conflict of Interest Discloser (COI Discloser)** is any person, regardless of title or position, included in a proposal, who is responsible for Conducting Research performed by or under the auspices of the University, other than those already included in a Project Director (PD), Co-PD, Principal Investigator (PI), Co-PI, Co-Investigator, or Key Person (KP) role. This may include Postdocs, students, and trainees.

For IRB Protocols: The Human Subjects Protection Program requires protocol personnel submit a Research Certification via eDisclosure for each project they are listed on in eIRB and has mapped IRB protocol roles to the sponsored project personnel categories. The below information can be used to ensure protocol personnel complete Research Certifications:

SPONSORED PROJECT PERSONNEL	IRB PROTOCOL PERSONNEL
Program Director (PD), Principal Investigator (PI), or Contact PI	Principal Investigator is the individual bearing ultimate responsibility for the design, conduct, reporting, and the management of human research.
Co-Investigator (Co-I), Multiple Principal Investigator (MPI), or Mentor/Advisor	Co-Investigator (Co-I) bears the same rights and responsibilities for the research study as the PI in terms of the design, execution and management of human research; responsible for the proper conduct of the human subject’s research
Key Person (KP)	No IRB equivalent
Conflict of Interest Discloser (COI Discloser)	Responsible Physician is the person responsible for ensuring that all medical procedures that are part of the study will have a suitable physician present during the procedures (as applicable). A responsible physician is required when the study includes medical procedures that the PI is not licensed for.
	Advisor is the person who oversees and monitors the conduct of student research by communicating regularly with the Principal Investigator (student researcher) and assists with the resolution of any problems or concerns encountered during the research. The Advisor also assures that the IRB is notified in the event of an adverse event or unanticipated problem.
	Research Staff are study team members who intervene or interact with human subjects and/or access identifiable information for research purposes.